

Rapid screening tool for hepatitis A infection.

1 Rapid Salivary IgG Antibody Screening for Hepatitis A

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19 Running Head: Rapid Screening Tool for Hepatitis A Infection

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25 **ABSTRACT**

26 Hepatitis A virus (HAV) is a common infection that is transmitted through the fecal-oral
27 route, shed in the stool of infected individuals and spread either by direct contact or by
28 ingesting contaminated food or water. Each year, approximately 1.4 million acute cases
29 are reported globally with a major risk factor for exposure being low household
30 socioeconomic status. Recent trends show a decrease in anti-HAV antibodies in the
31 general population, with concomitant increases in the numbers of HAV outbreaks. In
32 line with a recreational water study, this effort aims to assess the prevalence of salivary
33 IgG antibodies against HAV and subsequent incident infections (or immunoconversions)
34 in visitors to a tropical beach impacted by a publicly owned treatment works (POTW).
35 We applied a multiplex immunoassay to serially collected saliva samples gathered from
36 study participants who recreated at Boquerón Beach, Puerto Rico. Analysis of assay
37 results revealed an immunoprevalence rate of 16.17% for HAV with 1.43% of the cohort
38 immunoconverting to HAV. Among those who immunoconverted, 10% reported chronic
39 gastrointestinal symptoms and none experienced diarrhea. Tests on water samples
40 indicated good water quality with low levels of fecal indicator bacteria, however, the
41 collection and analysis of saliva samples afforded the ability to detect HAV infections in
42 beachgoers. This rapid assay serves as a cost-effective tool for examining exposure to
43 environmental pathogens and can provide critical information to policy makers, water
44 quality experts and risk assessment professionals seeking to improve and protect
45 recreational water and public health.

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47 Keywords: Hepatitis A virus, salivary antibodies, multiplex immunoassay,
48 immunoprevalence, immunoconversion, co-infections, public health

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50 INTRODUCTION

51 Hepatitis A virus is a non-enveloped, RNA virus of the family *Picornaviridae*,
52 genus *Hepatovirus* that is spread primarily by the fecal-oral route either by direct contact
53 with infected persons or by the ingestion of contaminated food or water (1). Although
54 HAV infections are usually asymptomatic and sub-clinical in children (70% of children
55 under age 6 often do not develop symptoms) (2, 3), 70% of adolescents and adults
56 develop symptoms to the virus which is linked to liver failure and can cause death
57 particularly in older adults (4). The incubation period for HAV is estimated at 14-49
58 days (5) with jaundice occurring in about 10% of infected children and 75% of infected
59 adults (6). At about 28 days into the incubation period, patients usually exhibit non-
60 specific signs and symptoms (e.g., fever, malaise, anorexia, jaundice); followed by
61 gastrointestinal symptoms including nausea, abdominal discomfort and diarrhea; and
62 genitourinary symptoms such as dark urine (7).

63 Low socioeconomic status, poor hygiene conditions and lack of access to safe
64 water have all been found to be associated with the incidence rate of the disease (4). In
65 Puerto Rico, the median household income is around \$19K; per capita income in past 12
66 months (in 2017 dollars) is \$12.8K; and the percentage of persons living in poverty is
67 43.1% (8). In comparison, the median household income for the same period for the
68 mainland US is \$57.7K; with a per capita income of \$31.1K and an 11.8% poverty rate
69 (8). These data show that although Puerto Rico is a US territory, its socioeconomic status

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70 is much lower than that of the mainland. High-income regions such as the United States,
71 Europe, Canada and Australia have very low endemicity levels and a high proportion of
72 susceptible adults while low-income regions like sub-Saharan Africa and parts of South
73 Asia have high endemicity levels and almost no susceptible adolescents and adults (9).
74 Middle-income regions in Asia, Latin America, Eastern Europe and the Middle East have
75 been shown to have a mix of intermediate to low endemicity levels suggesting that they
76 may have an increasing burden of disease (9).

77 Currently, HAV infections are identified and diagnosed using immunological and
78 molecular approaches. Since there are other types of viral hepatitis, it is critical that
79 HAV is differentiated from the other hepatitis viruses. This differentiation is necessary
80 for the proper diagnosis of HAV infection. One approach to correctly diagnosing HAV
81 infection is through serological assays measuring the humoral immune response. Several
82 commercial assays that measure IgM and total anti-HAV antibodies are available (10,
83 11). These serological assays are essential for diagnosis because HAV infection is
84 practically indistinguishable clinically from disease caused by other hepatitis viruses
85 (12). HAV serological assays include: IgM for acute HAV infections (1),
86 radioimmunoassay (13, 14), immunochemical staining (14), enzyme-linked
87 immunosorbent assays (ELISAs) (15), immunoblotting (16), and dot blot immuno-gold
88 filtration (17). Molecular detection methods for HAV include restriction fragment length
89 polymorphism (RFLP) (18), single-strand conformational polymorphism (19), Southern
90 blotting (20), and reverse transcription-PCR (RT-PCR) (21), among others. These
91 methods have been deployed primarily to detect HAV in clinical specimens, food and
92 environmental samples.

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93 Most serological tests involve the use of expensive, invasively acquired serum
94 samples requiring the collection of blood using needles which are considered to be
95 painful and undesirable by many, particularly children. As such, survey recruits are less
96 likely to participate in studies that use invasive collection techniques. Conversely, saliva
97 is an inexpensive, non-invasive, simple and painlessly collected biofluid shown to be a
98 suitable alternative to serum for measuring antibody responses to infectious organisms
99 (22-26). It has emerging applications in research and clinical settings and in fact, several
100 studies have shown the efficacy of salivary antibodies as biomarkers of hepatitis A virus
101 infections (27-29). Our team developed a bead-based, multiplex salivary antibody
102 immunoassay to measure the prevalence of antibodies to multiple waterborne pathogens
103 associated with drinking and recreational water contamination simultaneously (30, 31).
104 Application of the assay has allowed us to measure immunoprevalence (32),
105 immunoconversions (incident infections), co-infections (33), and asymptomatic
106 infections (34) from exposure to various waterborne pathogens in visitors to Boquerón
107 Beach, Puerto Rico. Immunoprevalence (the prevalence of circulating antibodies against
108 specific pathogens) is an important aspect of these studies because it affords the ability to
109 capture the baseline level of exposure at the beginning of a longitudinal study. An
110 immunoconversion is defined as the development of detectable antibodies (typically
111 within a few days of exposure) that can be tracked over time to examine the body's
112 immunological response during infection. Boquerón Beach is one of the water bodies
113 studied as part of US EPA's National Epidemiologic and Environmental Assessment of
114 Recreational (NEEAR) Water Studies (35) and was selected because of potential fecal
115 contamination from a nearby discharging Publicly Owned Treatment Works (POTWs)

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116 (35). The NEEAR Water study involved water sampling and testing, epidemiological
117 surveys and the collection of saliva samples. Quantitative polymerase chain reaction
118 (qPCR) and culture-based analyses indicated beach water quality was relatively good
119 with low fecal indicator counts for *Enterococci* and *Bacteriodales* (31). As part of the
120 Boquerón Beach study, a total of 468 water samples were collected over 26 days and
121 tested for *Enterococcus* colony forming units (CFU) by USEPA Method 1600. Results of
122 the water quality studies showed that densities of fecal indicator bacteria were low, and
123 no single day exceeded the USEPA geometric mean criterion of 35 CFU/100 ml for
124 *Enterococcus*. The highest daily geometric mean was 27 CFU/100 ml (35). Complete
125 results of the water quality study have been reported previously (35). While no specific
126 analyses were performed to detect HAV in the water, researchers were interested in
127 determining whether there was evidence of exposure to the virus in beachgoers as
128 demonstrated by anti-HAV antibodies in the saliva of study participants. In this effort,
129 three saliva samples were collected from consenting study participants with an initial
130 sample (S1) collected at the beach and two follow-up samples self-collected by
131 participants at home 10–14 (S2) and 30–40 days (S3) later. We employed our salivary
132 antibody multiplex immunoassay to assess rates of immunoprevalence and
133 immunoconversions (incident infections) to HAV in samples collected from beachgoers.
134 Further, we examined linkages between possible exposure risk factors and
135 immunoconversion rates.

136

137 **MATERIALS AND METHODS**

138 **Reagents**

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139 Polystyrene microspheres (5.6 μm bead) sets were obtained from Luminex Corp. (Austin,
140 TX, USA) at a concentration of 12.5×10^6 beads/ml each. Biotinylated goat anti-human
141 IgG (λ) secondary detection antibody was obtained from KPL (Gaithersburg, MD, USA).
142 HAV Grade II Concentrate antigen was purchased from Meridian BioScience (Memphis,
143 TN, USA) and coupled to one specific bead set in accordance with the optimized
144 multiplex immunoassay. The assay was validated using characterized sera (10 positive
145 and 10 negative) purchased from SeraCare (31) (Milford, MA, USA).

146

147 **Antigen coupling and confirmation using animal-derived antibodies**

148 Beads were activated and coupled, as previously described, and serial dilutions of
149 primary capture antibodies were used to confirm that the beads were coupled properly,
150 thus ensuring that the dynamic range of the assay could be defined (30, 31). Briefly,
151 coupled bead stocks were diluted in phosphate-buffered saline, pH 7.4, with 1% bovine
152 serum albumin (PBS-BSA) to a final concentration of 100 beads/ μl . Beads (5×10^3)
153 from each bead set were added to individual wells of a pre-wet 96-well filter plate. An
154 equal volume 2-fold serial dilutions of anti-species IgG primary antibody (from 12.5
155 $\mu\text{g/ml}$ – 0.1 $\mu\text{g/ml}$) was added to the beads, mixed gently, covered, and allowed to
156 incubate in the dark, at room temperature for 30 min at 500 rpm on a VWR™ microplate
157 shaker (Radnor, PA, USA).

158 After incubation, supernatant was vacuumed out, wells were washed twice with
159 100 μl of PBS pH 7.4 containing 0.05% Tween 20 (PBS-T) (Sigma, St. Louis, MO,
160 USA) and vacuumed again to remove excess buffer. Beads were resuspended in PBS-
161 BSA buffer and incubated with 0.8 μg of biotinylated anti-species IgG secondary

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162 detection antibody. The filter plates were covered and allowed to incubate in the dark at
163 room temperature for 30 min on a plate shaker. After a 30-minute incubation in the dark
164 on a plate shaker to protect the beads from bleaching, the wells were washed twice as
165 above. Then the samples were incubated for 30 minutes with 1.2 μg of streptavidin-R-
166 phycoerythrin, vacuumed, washed twice and resuspended in 100 μl of PBS-BSA. The
167 plates were then analyzed on a Luminex 100 analyzer (Luminex Corporation, Austin,
168 TX, USA).

169

170 **Saliva collection, processing and analysis**

171 During the summer of 2009, informed consent was obtained from subjects in
172 accordance with Institutional Review Board approval (IRB # 08-1844, University of
173 North Carolina, Chapel Hill, NC, USA) and saliva samples were collected from 2091
174 study participants at Boquerón Beach, Puerto Rico (Figure 1). During the initial sample
175 collection at the beach, study participants were guided on how to perform the sample
176 collection and instructed to rub the Oracol™ saliva collection device (Malvern Medical
177 Developments, Worcester, U.K) against the gingival crevices of the oral mucosa
178 (between the gums and teeth) to absorb saliva. Individuals who reported dental or any
179 other illnesses were excluded from the study. Infants under one year old were also
180 excluded at the time of the initial collection because of the potential for contamination by
181 maternal antibodies and high rates of non-waterborne infections. Within two days post
182 collection, participants shipped the second and third samples overnight on ice to US EPA
183 in Cincinnati for storage at 4°C until ready for processing. Within one week of receipt,
184 Oracol™ saliva collection devices were thawed to room temperature and centrifuged

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185 twice (first at 491 x g, 10°C for 5 min to recover the saliva off the collection sponge and
186 then at 1,363 x g, 10°C for an additional 5 min to pellet debris from the saliva) and
187 transferred to 1.5 ml microcentrifuge tubes. The samples were then centrifuged at 1,500
188 x g for 3 min and the supernatant transferred to a fresh 1.5 ml microcentrifuge tube and
189 stored at -80°C.

190 For analysis, 1:4 dilution of the saliva samples in phosphate buffered saline
191 containing PBS-1% BSA was added to prewet and vacuumed 96-well filter plates
192 (Millipore, Billerica, MA, USA). Beads (5×10^3) from each bead set and an equal
193 volume of diluted saliva were loaded onto each well resulting in a final dilution of 1:8 in
194 a total volume of 100 μ l per well. The loaded filter plates were processed, as previously
195 described, reporter fluorescence was measured using a Luminex 100 analyzer and
196 expressed as Median Fluorescence Intensity (MFI) of at least 100 beads per bead set (30,
197 31). MFI readings are produced for every sample and serve as a proxy for antibodies
198 present against the targeted pathogens. Each 96-well plate takes an average of 45
199 minutes to run the 29 targets/analytes we tested in each well on the Luminex 100™
200 analyzer.

201

202 **Assay controls, cross-reactivity and signal to noise ratio (SNR)**

203 Assay controls have been described in detail elsewhere (31), but briefly stated, a
204 unique, uncoupled bead set was added to the assay to evaluate non-specific binding and
205 sample to sample variability. These control beads were treated identically to antigen
206 conjugated beads and blocked with BSA but were not coupled to any antigen during the
207 coupling step. Samples with reactivity to uncoupled control beads at ≥ 500 MFI were

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208 discarded to control for non-specific binding and/or possible contamination of the saliva
209 by serum from gum disease or other sources. Tests for cross-reactivity were performed
210 in monoplex and duplex. Assay sensitivity was validated with characterized human
211 plasma samples as previously described (30, 31) and a signal to noise ratio (SNR) was
212 calculated by dividing the MFI of the specific antigen signals by the MFI of the
213 uncoupled control beads for each sample (31, 36).

214

215 **Defining immunoprevalence and immunoconversions**

216 Cut-off criteria were established in (32) ($\text{Cut-off} = 10^{\text{mean}(h) + 3 \text{SD}(h)}$, where $h =$
217 $\log_{10}(\text{MFI of control beads})$) to distinguish immunopositive and immunonegative
218 samples and employed to measure immunopositivity and immunoprevalence (baseline
219 immunopositivity) in the population. Immunoconversions are defined using the more
220 stringent three sample criteria presented by Simmons et al (33) which extends the
221 traditional four-fold increase from S1 to S2 definition to ensure that the S2 sample is
222 immunopositive ($\text{MFI} \geq \text{cut-off point}$) and accounts for the fact that IgG levels are
223 expected to remain relatively high and not drop to zero during the 30 - 40-day period
224 after initial exposure; accordingly, the immunoconversion criteria is $S2 \geq 4 \times S1$; $S2 \geq$
225 cutoff ; $S3 \geq 3 \times S1$. Immunoconversions were only computed for study participants who
226 provided all three samples.

227

228 **Statistical analyses**

229 All data analyses were performed using Microsoft Excel 2016, JMP 14 and
230 MATLAB Release 2018b. To examine possible risk factors of exposure, we used Fisher's

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231 exact test to provide odds ratios and two-sided p-values related to the association between
232 HAV immunoconversions and general epidemiological survey data compiled during the
233 NEEAR Water study on participant gender, age, consumption of undercooked meat or
234 raw fish, contact with unknown animals contact, head immersion swimming, diarrhea at
235 10 – 14 days, contact with ill people, and chronic issues, including gastrointestinal (GI)
236 disease, allergies, and asthma.

237

238 **RESULTS**

239 **Beach selection and study population**

240 Figure 1A shows a map of the United States including Puerto Rico. Boquerón
241 Beach, Puerto Rico is in the beach town of Cabo Rojo in the Southwest of the island
242 (Figure 1B) and is commonly attended by families on the island (70% of the visitors were
243 locals who reported six or more visits per year). As discussed in the Introduction,
244 socioeconomically, Puerto Rico's status falls within the low range with high endemicity
245 levels of HAV infection. Study participants provided 5533 serially collected saliva
246 samples; however, 95 samples were removed from further analysis after quality
247 assurance/quality control procedures discussed previously (34). The remaining 5438
248 samples were broken down as follows: S1: 2078, S2: 1694, and S3: 1666.

249

250 **Bead coupling and confirmation**

251 To confirm that the HAV antigen was sufficiently coupled to the carboxylated beads,
252 anti-HAV polyclonal antibodies were exposed to the antigen-coupled beads as well as
253 uncoupled control beads (Figure 2).

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254

255 **Prevalence of HAV exposure and incident infections in study participants**

256 Figure 3 provides a scatterplot of the MFI response for all the saliva samples collected
257 with the positive samples showing in red. To determine the baseline immune status of
258 the beachgoers, HAV immunoprevalence was computed from saliva samples collected
259 from participants at the beach (S1). Results indicate that beachgoers had a 16.17%
260 (336/2078) immunoprevalence rate. Nearly 70% of the participants gave all three
261 samples (1399/2078) and analysis of samples from this cohort was used to determine
262 immunoconversions (incident infections). Immunopositivity rates for this group
263 remained relatively consistent with anti-HAV antibodies detected in approximately 16%
264 of samples from S1 and subsequent samples ((S1: 16.15% (226), S2 and S3: 15.44%
265 (216)) (Figure 4).

266 Analysis of MFI results indicated that twenty (20) people (1.43%)
267 immunoconverted to HAV. Epidemiological surveys were completed by most of the
268 participants (n = 1298) and accordingly, used to assess possible linkages between
269 immunoconversion rates and both demographic and exposure risk factors (Table 1).
270 Most of the participants were female; did not consume undercooked meat or raw fish; nor
271 did they have unknown animal contact. Furthermore, they did not swim in the previous
272 two weeks nor did they report diarrhea or contact with ill people. While most immersed
273 their head when swimming, relatively few of the participants reported suffering from
274 allergies, asthma or chronic GI illness.

275 Individuals with HAV immunoconversions ranged in age from 6 to 88 (mean =
276 39.7). Moreover, 60% (N=12) of those who immunoconverted were over 35 years old.

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277 Although more females participated in the study, slightly more males experienced HAV
278 infections and nearly all the individuals with HAV immunoconversions immersed their
279 head while swimming (85%). Figure 5 provides a visualization of the MFI responses
280 from the baseline to final sample (S1-S3) and associated chronic underlying conditions
281 (CUCs) for those who immunoconverted. The black lines denote the 7 (35%)
282 participants suffering from specific chronic conditions showing in the lower (B) panel
283 (i.e., gastrointestinal (GI) issues: 2 (10%), allergies: 3(15%) and asthma: 2 (10%). Most
284 (65%: 13/20) of the HAV immunoconversions were unaccompanied by the chronic
285 conditions considered (denoted by the gray line/shading in Figure 5B). Consequently,
286 there was no statistically significant association (p-values $\gg 0.05$) between HAV
287 immunoconversions and any of the demographic or exposure risk factors (Table 1).

288

289 **DISCUSSION**

290 The importance of population-based studies as a valuable tool for surveillance
291 cannot be understated. These studies are essential in monitoring immunoprevalence rates
292 over time to evaluate changes in epidemiological trends and provide important
293 information regarding exposure susceptibility and potential future outbreaks; thereby
294 facilitating the efforts of policy makers, public health practitioners and environmental
295 managers to adapt and/or adopt preventive measures (27). As such, rapid, non-invasive
296 methods are needed to monitor changes in the population to determine the sources of
297 exposures to these diseases. The bead-based salivary antibody immunoassay presented
298 and applied in this study serves as a rapid screening test of HAV antibody prevalence and
299 subsequent incident infections in a population. Moreover, the use of saliva greatly

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300 expands the applicability and future utility of the method. Saliva collection is less
301 expensive and is neither invasive nor painful; hence, it is very well tolerated by children,
302 a key group in epidemiologic studies. Relatively small sample volumes are needed, and
303 trained personnel are not required to obtain samples (27).

304 In our study, we found a 16.17% immunoprevalence rate of anti-HAV antibodies
305 in the beachgoers which is about half of the overall immunoprevalence rate of 31.2%
306 among US-born persons ≥ 2 years of age between 2007 – 2012 (37). Researchers have
307 shown that among US-born persons ≥ 20 years old, there was a 24.2% decrease in the
308 overall age-adjusted prevalence of anti-HAV antibodies during the same period, down
309 from 29.5% between 1999 – 2006 (37). Only 1.43% of the participants who provided all
310 three samples were found to have HAV immunoconversions. Of the 20 participants who
311 immunoconverted, only 7 (35%) reported having underlying chronic conditions; none
312 experienced diarrhea and there was no statistically significant association between any of
313 the demographic or exposure risk factors tested.

314 The low immunoconversion rate suggests that there is some level of immune
315 protection in the population. Residents of the Cabo Rojo and Boquerón Beach area were
316 the primary visitors to the beach (most participants reported multiple visits to the beach
317 each year). In this study, we did not determine whether tourists at the beach were more
318 likely to have become exposed or themselves displayed evidence of previous HAV
319 infections in the initial S1 sample. This would have provided a valuable comparison in
320 rates of immunoprevalence and incident infections between tourists and residents; as well
321 as the efficacy of the HAV vaccine and the effectiveness of global vaccination programs.
322 Although information regarding hepatitis A vaccination series completion rates is limited,

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323 low HAV vaccine series completion rates were observed among cohorts of
324 commercial/Medicare (32%) and Medicaid enrollees in the United States (21%) (38).
325 Additionally, adherence with and completion of recommended hepatitis vaccination
326 schedules among adults in the US has been described as suboptimal, leaving a substantial
327 proportion of adults at risk (39). The same is true for adults in the United Kingdom where
328 adherence rates topped out at 23% (40). We may have observed higher symptomatic
329 infections in tourists compared to residents who, through repeated exposures, would have
330 been immunoprotected and therefore less likely to be symptomatic. We observed this
331 phenomenon with norovirus GI.1 and GII.4 infections in the study population where
332 evidence of relatively high levels of anti-norovirus antibodies were observed in the
333 population without the expected symptoms of gastrointestinal illness (34).

334 Still, the estimated decrease in anti-HAV antibodies in those ≥ 20 years of age
335 presents a public health challenge because it suggests that a substantial number of
336 persons in the population remain susceptible to HAV infection at ages when the risk of
337 morbidity and mortality from HAV infections is highest (41). Outbreaks occur because
338 people have not been vaccinated or exposed or their immunity has declined over time.
339 Accordingly, the observed decrease in anti-HAV antibodies in the population presents an
340 ideal environment for outbreaks to occur.

341 Limitations of this study include non-specific binding of antibodies in human
342 saliva to the HAV antigen coupled to the beads, potential for cross-reactivity in the
343 multiplex assay and the difficulty in correlating water quality to antibody responses,
344 symptomology and incident infections. These limitations were addressed using a number

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345 of approaches (e.g., testing in monoplex and duplex, validating antigens using
346 characterized samples) and are discussed in greater detail previously (30, 31).

347 Although not specifically stated, a core goal of the overall effort is to link HAV
348 incident infections to water quality. Because HAV infections are often asymptomatic in
349 some populations, there is great difficulty in directly linking symptoms or water quality
350 to HAV infection unless those symptoms had progressed to jaundice or HAV viral
351 particles were isolated directly from the stool of the participants. An additional limitation
352 is that symptomology information was collected only at S2 (10-14 days post beach visit)
353 and can be highly subjective. As such, it would be difficult to link symptomology to
354 hepatitis A infection because of the long incubation period, and the fact that symptoms
355 are not generally expressed until approximately day 28. These results dictate that
356 symptomology data also be collected during the submission of both S2 and S3 samples to
357 capture symptoms from pathogens with longer incubation periods. Previous testing of the
358 same saliva samples detected evidence of exposure and immunoconversions against *H.*
359 *pylori*, *C. jejuni*, *T. gondii* and noroviruses GI.1 and GII.4 (pathogens that produce
360 similar GI symptoms) and the use of the immunoassay afforded the ability to examine
361 exposure patterns even when symptoms or possible risk factors are absent. Further,
362 linking water quality to HAV infections is difficult because investigators did not isolate
363 HAV directly from the water samples. As a part of the NEEAR Water study, water
364 quality was assessed at Boquerón Beach during the study period using *Enterococcus* CFU
365 (Colony forming units per 100 ml), *Enterococcus* CCE (qPCR Calibrator Cell
366 Equivalentents per 100 ml) and culture-based methods but was not analyzed specifically for
367 HAV. Results indicated that the water quality was relatively good with low fecal

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368 indicator counts for *Enterococci* and *Bacteroidales* (35). Wade *et al* 2009 noted that any
369 attempt to draw conclusions regarding the water quality data at Boquerón Beach would
370 be questionable because of interference in the qPCR assay from an unknown source (35).
371 Further, according to Wade *et al* 2010, fecal indicator bacteria are used to monitor
372 recreational waters because it is usually impractical to test these waters directly for the
373 many and diverse pathogenic microorganisms associated with human derived sewage
374 (42). Accordingly, linking water quality to infection rates would require that other tests
375 be performed to directly examine the presence of targeted organisms in water samples.
376 In a recent study, researchers developed a reverse-transcription plus nested or semi-
377 nested PCR assay followed by sequencing and phylogenetic analysis to detect and
378 genotype noroviruses and rotaviruses simultaneously in a wastewater treatment and
379 reclamation system (43). Such an approach could be quite beneficial in linking water
380 quality more directly with exposure health effects.

381 In summary, results from this effort demonstrate the utility and benefits of a rapid
382 population-based, salivary antibody screening method in monitoring epidemiologic
383 changes in the population. To better understand the potential cost and time savings
384 afforded by the multiplex immunoassay, we compared it to an ELISA. While both
385 methods can be used to analyze different types of proteins, the core difference lies in the
386 fact that unlike an ELISA which can only assess one analyte at a time, a multiplex
387 immunoassay is a high-throughput method that possesses the ability to examine between
388 100 to 500 analytes, simultaneously. ThermoFisher estimates that the cost of analyzing
389 one analyte is essentially the same for both methods; however, the savings per target
390 increases as the number of analytes increases (44). For example, while analyzing 29

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391 analytes would cost nearly \$9,000 US and take about 120 hours (5 days) using ELISA
392 test kits, multiplexing the analytes would cost roughly \$3,700 US and can be achieved in
393 45 minutes (44). The use of a multiplex immunoassay can facilitate the timely
394 dissemination of information useful for public health officials and policy makers and
395 could lead to measures such as more robust vaccination schedules and more stringent
396 water and food quality advisories to reduce future exposures and corresponding incident
397 infections. According to the US Centers for Disease Control and Prevention (CDC),
398 HAV surveillance can assist in (1) detecting and providing data to control outbreaks; (2)
399 identify contacts of case-patients who require post-exposure prophylaxis; (3) characterize
400 changes in the epidemiology of infected populations and risk factors; and (4) guide
401 vaccination policies and other prevention efforts (41). Hence, this bead-based salivary
402 antibody assay can potentially be used as a rapid, inexpensive, noninvasive screening tool
403 for HAV and other waterborne infections to help public health officials, policy makers,
404 risk assessors, first responders, and the public in mitigating the health and financial
405 burden posed by exposure to existing and emerging pathogens. Moreover, the reduced
406 cost of multiplexing may be economically beneficial to developing and under-developed
407 countries by providing a screening tool whereby antibody responses to multiple
408 pathogens can be studied simultaneously, rapidly and noninvasively.

409

410 **CONFLICT OF INTEREST STATEMENT**

411 The authors report no conflict of interest.

412

413 **ETHICS STATEMENT**

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414 Approval was obtained from the University of North Carolina, Chapel Hill, NC, USA
415 (IRB # 08-1844), for the collection of saliva samples from beachgoers at Boquerón
416 Beach, Puerto Rico, as part of the US EPA NEEAR Water Study. Study subjects
417 provided informed consent and were instructed on the use of the OraCol™ saliva
418 collection device. Infants younger than 1 year were not included. Informed consent was
419 obtained from parents of minors.

420

421 **AUTHORS AND CONTRIBUTORS**

422 SAJA designed the study with KS, and TE. TW, and EAS provided the saliva samples.
423 SAJA, KJS, SG, CC and MKDR conducted the laboratory experiments and processed the
424 raw assay data. TE performed the data analysis. SAJA and TE wrote the original
425 manuscript and SAJA, TE, KS, CC, SG, MR, KO, EAS, and TW, reviewed, provided
426 comments and approved the final manuscript.

427

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438

439 **DISCLAIMER**

440 Mention of trade names or commercial products does not constitute endorsement or

441 recommendation by the United States Environmental Protection Agency for use.

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581

582 Table 1: Evaluation of associations between HAV immunoconversions (IC) and potential
583 risk factors. Fisher's exact test was used to compute two-sided p-values. In the table, IC
584 (%N) is the percentage of people who immunoconverted and %IC is the percentage of
585 immunoconversions. Note: 1298 of the participants (N=1298) returned surveys but the
586 numbers for each category may not add up to 1298 (or 20 immunoconversions) due to
587 non-response on individual questionnaires.

588

	IC (%N)	% IC
All (N=1298)	20 (1.54%)	100.0%
Gender		
Male (N = 548)	11 (2.01%)	55.0%
Female (N=750)	9 (1.2%)	45.0%
<i>p-value</i>	0.2611	

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Age		
0-4 (N=48)	0 (0%)	0.0%
5-11 (N=148)	2 (1.35%)	10.0%
12-19 (N=209)	2 (0.96%)	10.0%
20-34 (N=319)	4 (1.25%)	20.0%
35-over (N=569)	12 (2.11%)	60.0%
<i>p-value</i>	0.8121	
Children under 7		
No (N=1140)	19 (1.67%)	95.0%
Yes (N=158)	1 (0.63%)	5.0%
<i>p-value</i>	0.498	
Undercooked meat consumption		
No (N=1263)	20 (1.58%)	100.0%
Yes (N=34)	0 (0%)	0.0%
<i>p-value</i>	1	
Raw fish consumption		
No (N=1248)	20 (1.6%)	100.0%
Yes (N=49)	0 (0%)	0.0%
<i>p-value</i>	1	
Unknown animal contact		
No (N = 1214)	18 (1.48%)	90.0%
Yes (N = 48)	1 (2.08%)	5.0%
<i>p-value</i>	0.5239	
Swimming in previous two weeks		
No (N = 884)	14 (1.58%)	70.0%
Yes (N = 414)	6 (1.45%)	30.0%
<i>p-value</i>	1	
Head immersion swimming		
No (N = 394)	3 (0.76%)	15.0%
Yes (N = 903)	17 (1.88%)	85.0%
<i>p-value</i>	0.1495	
Diarrhea at 10-12 days		
No (N = 1248)	19 (1.52%)	95.0%

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Yes (N = 20)	0 (0%)	0.0%
<i>p-value</i>	1	
Contact with ill people		
No (N = 1221)	20 (1.64%)	100.0%
Yes (N = 75)	0 (0%)	0.0%
<i>p-value</i>	0.6246	
Allergies		
No (N = 1127)	17 (1.51%)	85.0%
Yes (N = 171)	3 (1.75%)	15.0%
<i>p-value</i>	0.7394	
Asthma		
No (N = 1171)	18 (1.54%)	90.0%
Yes (N = 127)	2 (1.57%)	10.0%
<i>p-value</i>	1	
Chronic GI illness		
No (N = 1224)	18 (1.47%)	90.0%
Yes (N = 74)	2 (2.7%)	10.0%
<i>p-value</i>	0.3173	

589

590 **FIGURE LEGEND**

591 Figure 1

592 (A) Map of United States showing Puerto Rico. (B) Map of Puerto Rico showing

593 Boquerón Beach (white arrow). Images courtesy of Google maps: Map data

594 ©2020Google, INEGI for the US Mainland

595 (<https://goo.gl/maps/wxUE7TQ7EW1DHXHU9>) and Data LDEO-Columbia, NSF,

596 NOAA Data SIO, NOAA, U.S. Navy, NGA, GEBCO Landsat/Copernicus for the Map of

597 Puerto Rico (<t.ly/Wgcl>). Last Accessed 7/30/2020.

598

599 Figure 2

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600 Coupling confirmation of duplex HAV antigen and uncoupled control beads using goat-
601 anti-HAV polyclonal antibodies.

602

603 Figure 3

604 Scatter plot of anti-HAV responses measured in Median Fluorescence Intensity (MFI)
605 units for all saliva samples analyzed (N=5438). Positive samples ($MFI \geq \text{cut-off}$) are
606 shown in red (N=849).

607

608 Figure 4

609 Immunopositivity heatmap for study participants who returned all three samples
610 (N=1399). Red line denotes immunopositive samples ($MFI \geq \text{cut-off}$).

611

612 Figure 5

613 Summary of HAV immunoconversions and reported chronic underlying conditions
614 (CUCs). (A) MFI response curves of the twenty (20) individuals who immunoconverted.
615 (B) Tree map of CUCs reported for individuals with HAB immunoconversions. The line
616 color/shading is used to denote individuals with (black) and without (gray) CUCs.

617

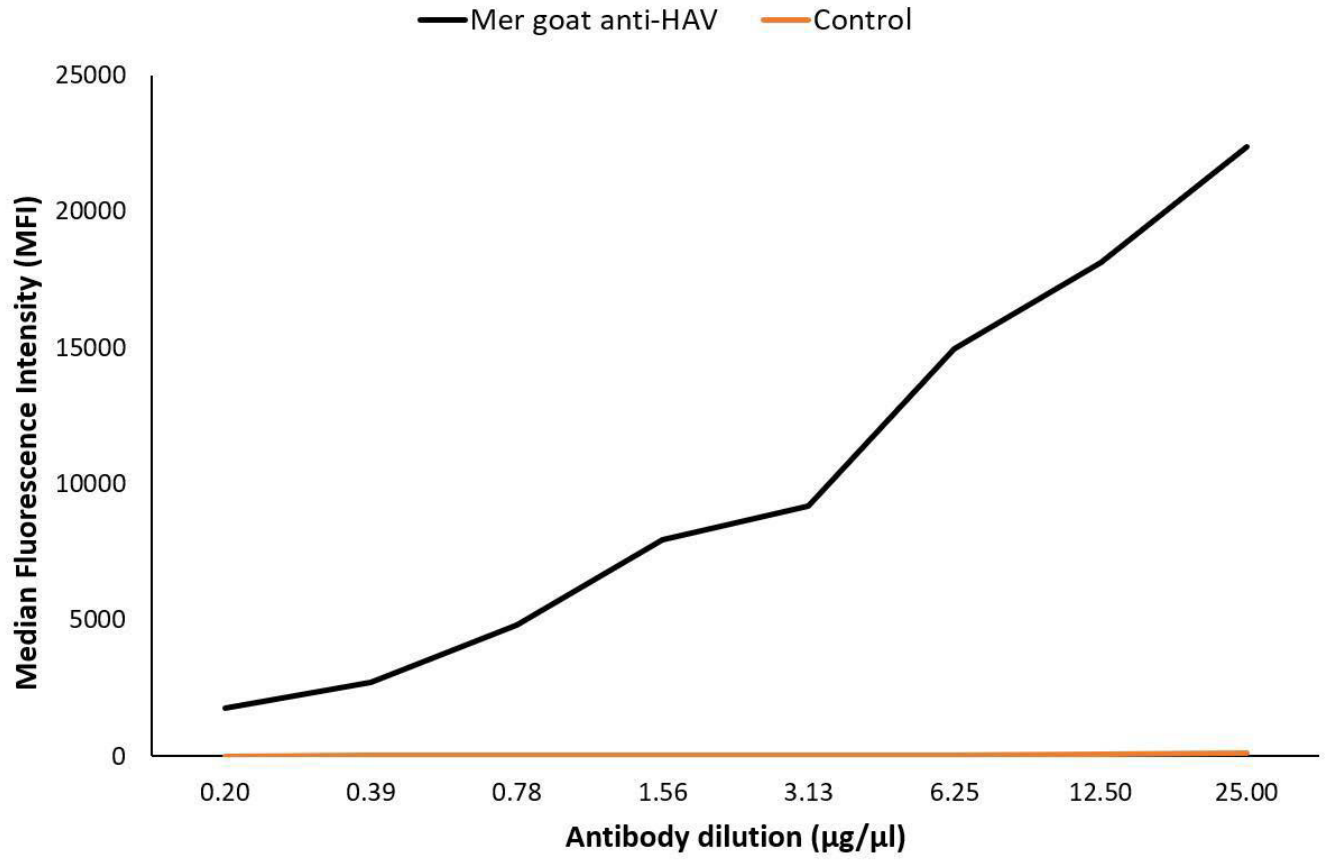
618

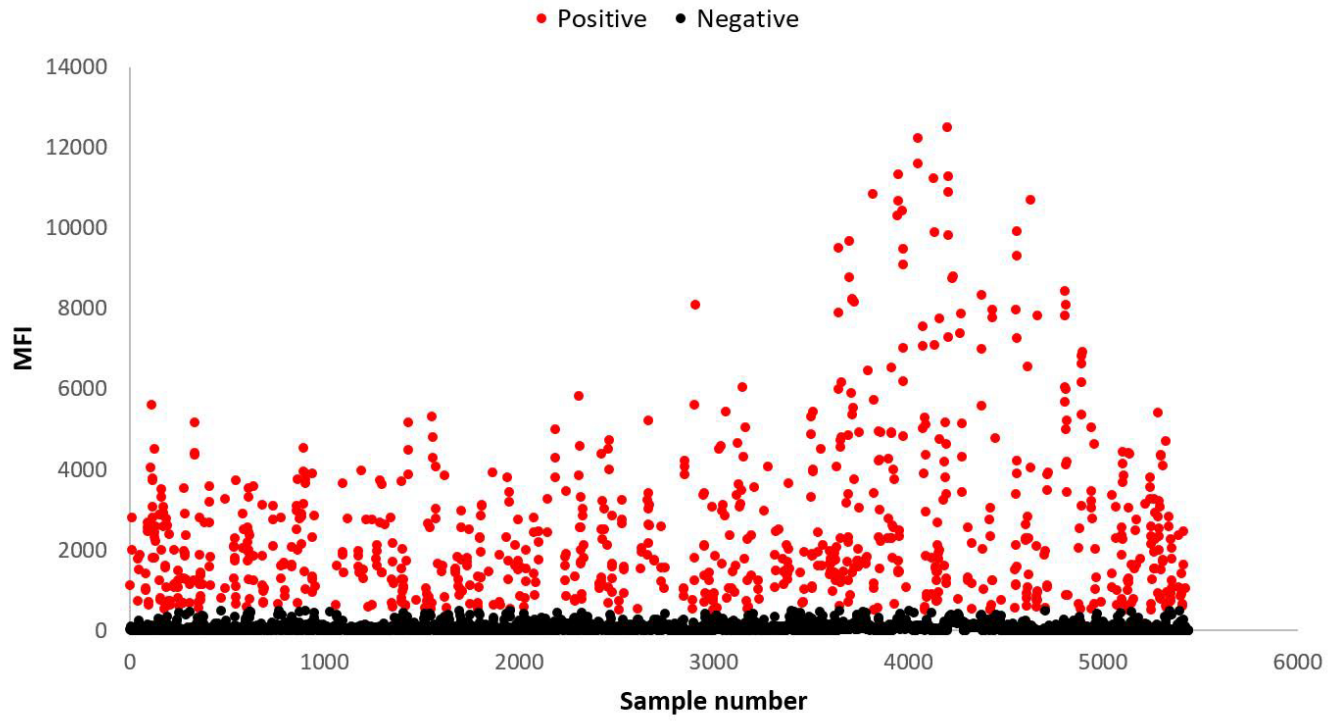
619

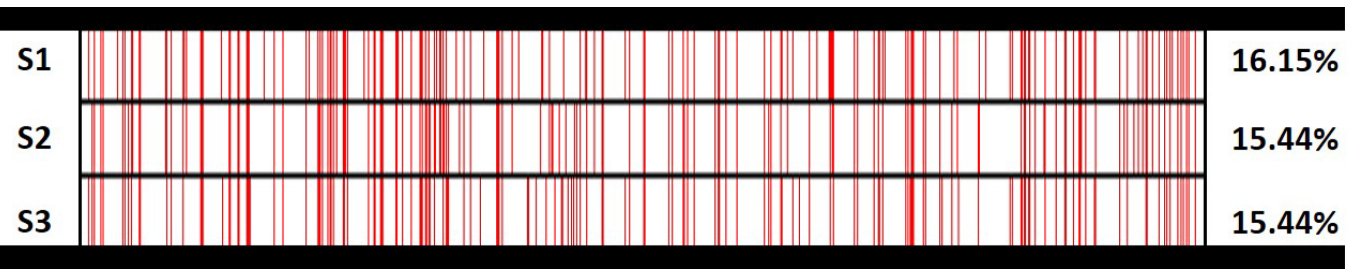
620

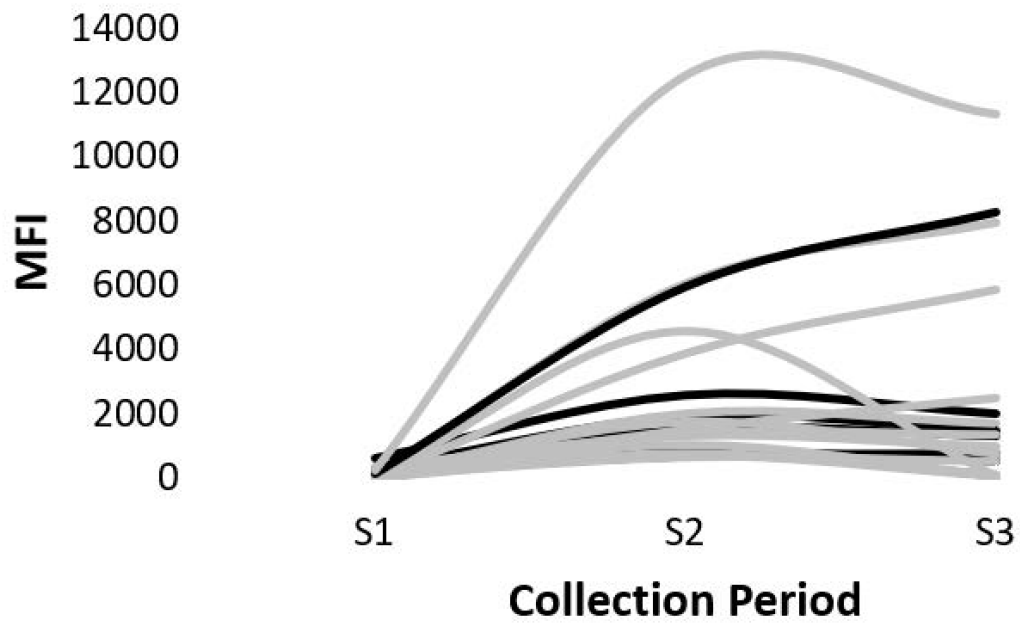
621









A**B**